

**Legal Services Corporation  
Meeting of the Finance Committee**

**Open Session**

**Sunday, July 21, 2013**

Committee Chairman Robert J. Grey Jr. convened an open session meeting of the Legal Services Corporation's ("LSC") Finance Committee ("the Committee") at 1:30 p.m. on Sunday, July 21, 2013. The meeting was held at the Warwick Hotel, 1776 Grant Street, Denver, Colorado 80203.

The following Committee members were present:

Robert J. Grey Jr., Chairman  
Sharon L. Browne  
Laurie I. Mikva  
Martha L. Minow (by telephone)  
Father Pius Pietrzyk  
Robert E. Henley Jr. (Non-Director Member)(by telephone)  
Allan J. Tanenbaum (Non-Director Member)  
John G. Levi, *ex officio*

Other Board Members Present:

Charles N.W. Keckler  
Harry J.F. Korrell, III  
Victor B. Maddox  
Julie A. Reiskin  
Gloria Valencia-Weber

Also attending were:

James J. Sandman	President
Lynn Jennings	Vice President for Grants Management
Wendy Rhein	Chief Development Officer
Richard L. Sloane	Chief of Staff and Special Assistant to the President
Rebecca Fertig	Special Assistant to the President
Ronald S. Flagg	Vice President for Legal Affairs, General Counsel, and Corporate Secretary
David L. Richardson	Comptroller and Treasurer, Office of Financial and Administrative Services (OFAS)
Bernie Brady	LSC Travel Coordinator
Carol Bergman	Director, Office of Government Relations and Public Affairs (GRPA)
Jeffrey E. Schanz	Inspector General
Laurie Tarantowicz	Assistant Inspector General and Legal Counsel, Office of the Inspector General (OIG)

Ronald “Dutch” Merryman	Assistant Inspector General for Audit, OIG
Thomas Coogan	Assistant Inspector General for Investigations, OIG
David Maddox	Assistant Inspector General for Management and Evaluation, OIG
Lora M. Rath	Deputy Director, Office of Compliance and Enforcement (OCE)
Janet LaBella	Director, Office of Program Performance (OPP)
Herbert S. Garten	Non-Director Member, Institutional Advancement Committee
Frank B. Strickland	Non Director Member, Institutional Advancement Committee
Anne Milne	Executive Director, Utah Legal Services
Chuck Greenfield	National Legal Aid and Defender Association (NLADA)
Don Saunders	NLADA
Lisa Wood	American Bar Association Standing Committee on Legal Aid and Indigent Defendants (SCLAID)

The following summarizes actions taken by, and presentations made to, the Committee:

Committee Chairman Grey called the meeting to order.

### **MOTION**

Father Pius moved to approve the agenda. Ms. Browne seconded the motion.

### **VOTE**

The motion passed by voice vote.

Mr. Richardson presented LSC’s financial report for the eight-month period ending May 31, 2013. He reported that the Corporation’s expenses for the period were under budget and provided a breakdown of the expenses. Mr. Richardson answered Committee members’ questions.

Next, Mr. Richardson reported on the revised consolidated operating budget for fiscal year 2013. He answered Committee members’ questions.

### **MOTION**

Father Pius moved to recommend that the Board adopt the resolution approving the revised consolidated operating budget for fiscal year 2013. Ms. Browne seconded the motion.

### **VOTE**

The motion passed by voice vote.

Mr. Richardson then reported on the selection of acts and depositories for LSC funds. He explained that after having discussions with the Friends of Legal Services Corporation, LSC Management (“Management”) determined that LSC will proceed with TD Bank and that TD Bank will be able to meet all of LSC’s banking needs.

Ms. Bergman then led the discussion regarding the status of the fiscal year 2014 appropriations process.

Next, Mr. Richardson presented the temporary operating authority for fiscal year 2014 and the accompanying resolution. He explained that, based on anticipated 2014 funding, there would be another temporary operating budget in October.

**MOTION**

Mr. Levi moved to recommend that the Board approve the temporary operating authority for fiscal year 2014. Father Pius and Dean Minow seconded the motion.

**VOTE**

The motion passed by voice vote.

President Sandman presented Management's recommendation for the fiscal year 2015 budget request. Committee members commended Management for its hard work preparing the budget request recommendation.

**MOTION**

Ms. Browne moved to recommend that the Board approve LSC's appropriation request for fiscal year 2015 in the amount of \$486 million. Dean Minow seconded the motion.

**VOTE**

The motion passed by voice vote.

Chairman Grey invited public comment. Mr. Levi welcomed Ms. Browne back to her first Board meeting since last year. Mr. Saunders thanked the Board for its leadership and willingness to support Management's budget request recommendation.

There was no other business to discuss.

**MOTION**

Ms. Browne moved to adjourn the meeting. Dean Minow seconded the motion.

**VOTE**

The motion passed by voice vote.

The meeting of the Committee adjourned at 3:00 p.m.

*I hereby certify that this is a true and correct copy of the minutes adopted by the Legal Services Corporation's Finance Committee on October 22, 2013.*

A handwritten signature in cursive script that reads "Ronald S. Flagg". The signature is written in black ink and is positioned above a horizontal line.

**Ronald S. Flagg**

Vice President for Legal Affairs,  
General Counsel & Corporate Secretary